

Advisory Committee Disclosure Form

Name: Ray Polonic

Firm: Robinhood Financial

- Provide the Advisor's title and a brief description of the Advisor's role within the firm.

Market Data Lead Analyst. I am the sole person within Market Data Operations. I submit quote reporting, manage vendor and exchange audit responses, triage client and vendor issues involving market data, as well as ensure compliant use of market data throughout the company. I work directly with our engineering, product, procurement, compliance, operations, and finance teams on all things involving market data.

- Does the Advisor have responsibilities related to the firm's use or procurement of market data?

I manage vendor market data relationships and work with our procurement team on new data feeds, renewals, cancellations, and additional data requirements.

- Does the Advisor have responsibilities related to the firm's trading or brokerage services?

I am the designated principal for market data within the introducing broker dealer. I am required to approve market data use within new products or changes to current products that utilize market data.

- Does the Advisor's firm use the SIP?

Yes, we consume the SIP data via ICE Data Services.

- Does the Advisor's firm use exchange Proprietary Market Data products?

We do use the Nasdaq Basic Proprietary market data feed.

- Does the Advisor's firm have an ownership interest of 5% or more in one or more Participants?

No

- If yes, list the Participant(s).

- Does the Advisor actively participate in any litigation against the Plans?

I do not actively participate in any litigation against the Plans.

- Does the Advisor or the Advisor's firm have additional relationships or material economic interests that could be perceived by a reasonable objective observer to present a potential conflict of interest with their responsibilities to the Plan?

No.

- If so, provide a detailed narrative discussion of all material facts necessary to identify the potential conflicts of interest and the effects they may have on the Plan.